

UNDC awarded a State contract to Henry Restoration Ltd as described below. The utilization plan and waiver form submitted by Henry Restoration are attached.

Name and address of the contractor:

Henry Restoration Ltd
120 Lake Avenue South, Suite 14
Nesconset, New York, 11767

Contract name/number:

Three UN Plaza Covered Entryway Restoration

Contract award date:

7/16/2012

Estimated date of completion:

2/15/2013

Amount obligated under the contract:

\$189,350

Description of work required by the contract:

Provide all material, labor and oversight for refurbishing and upgrading of the main entrance plaza of an occupied commercial office building.

Name and address of certified enterprises referenced in the utilization plan and contract work they shall perform:

Division 7 Incorporated
11 Seville Lake
Stony Brook, NY 11790

Division 7 will perform waterproofing, replace hung ceiling, and install temporary door.

Description of any waivers granted:

A waiver of the minority-owned business enterprise goal was granted because the contractor is using a certified women-owned business enterprise to perform a substantial portion of the work.

MWBE UTILIZATION PLAN

(REVISED 11/8/2011)

INSTRUCTIONS:

Contractors must submit a utilization plan for achieving goals established for the participation of New York State-certified minority- and women-owned business enterprises in relation to a State contract. Upon execution of a State contract, UNDC will post the utilization plan submitted by the winning bidder on its website. If a contractor changes its utilization plan after submission, it must notify UNDC in writing of such change and obtain approval from UNDC.

Note: All sections must be completed. Attach additional pages as necessary. Submit completed form to: United Nations Development Corporation, Two U.N. Plaza, 27th Floor, New York, NY 10017.

SECTION I – CONTRACT/PROJECT INFORMATION

Contract name:

THREE UNITED NATIONS PLAZA / ENTRANCE RESTORATION

SECTION II - CONTRACTOR INFORMATION

Contractor's name:

HENRY RESTORATION LIMITED

Contractor's Federal identification number:

11-2342144

Contractor's address:

120 LAKE AVENUE SOUTH, SUITE 14, NESCONSET, NY 11767

Contractor's telephone number:

631-862-0400

Preparer's name and title:

TIMOTHY D. HENRY, PRESIDENT

Preparer's email address:

tdh@henryrestorationltd.com

Preparer's signature:

Date:

November 21, 2012

Is the contractor a NYS-certified MWBE: Yes No

If yes, attach proof of NYS certification. If the contractor is certified as both an MBE and a WBE, indicate whether participation should be counted towards the MBE goal or the WBE goal.

SECTION III - SUBCONTRACTOR INFORMATION

Does the contractor intend to subcontract with NYS-certified MWBEs to achieve the MWBE participation goals established on this contract: Yes No

If yes, provide the following information regarding each NYS-certified MWBE the contractor intends to subcontract with. Indicate whether the enterprise is certified as an MBE, a WBE, or both. If an enterprise is certified as both, indicate whether participation should be counted towards the MBE goal or the WBE goal. Attach proof of NYS certification for each enterprise.

MWBE name and address:	MWBE Federal I.D. number:	Contract scope of work to be performed by MWBE:	Amount to be paid to MWBE:	Dates of work to be performed by MWBE:
DIVISION SEVEN INC.	11-3389212	PLAZA WATERPROOFING HUNG CEILING REPLACEMENT	\$38,600.00	MULTIPLE DATES OCTOBER 2012 - JANUARY 2013
		TEMPORARY WATERPROOFING TEMPORARY DOOR INSTALLATION		

SECTION IV – JOINT VENTURE INFORMATION

Is the contractor a joint ventureⁱ, teaming agreementⁱⁱ, or other similar arrangement that includes a NYS-certified MWBE: Yes No

If yes, specify whether the contractor is a joint venture, teaming agreement, or other similar arrangement and provide the following information:

1. The name, address, telephone number, and federal identification number of each partner or party to the agreement;
2. The federal identification number of the joint venture or entity established to respond to the solicitation, if applicable;
3. A copy or detailed summary of the joint venture, teaming agreement, or other similar arrangement, which describes the percentage of interest owned by each party to the agreement and the value added by each party;
4. A copy or detailed summary of the mentor-protégé agreementⁱⁱⁱ between the parties, if applicable, and if not described in the joint venture, teaming agreement, or other similar arrangement.

Attach proof of NYS certification, where applicable. Indicate whether participation should be counted towards the MBE goal or the WBE goal, where applicable.


WAIVER FORM

(REVISED 2/8/2012)

INSTRUCTIONS:

If a contractor has made good faith efforts to meet the goal requirements established on a State contract but is unable to fully comply, the contractor must submit a request for a partial or total waiver of the goal requirements. Requests for a waiver should be made simultaneously with the submission of a utilization plan. Upon execution of the contract, UNDC will post the waiver, if granted, on its website.

Note: All fields on this page must be completed. Submit completed form to: United Nations Development Corporation, Two-U.N. Plaza, 27th Floor, NY, NY 10017.

Project Name: 3 UNITED NATIONS PLAZA ENTRANCE RESTORATION	Waiver Type: <input checked="" type="checkbox"/> Partial Waiver <input type="checkbox"/> Total Waiver
Contractor's name, address, and telephone number: HENRY RESTORATION LTD, 120 LAKE AVE SOUTH, NESCONSET, NY 11767	Contractor's Federal Identification No.: 11-2342144
Preparer's name, title and email address: TIMOTHY D. HENRY, PRESIDENT, 120 LAKE AVE NESCONSET, NY 11767	Preparer's signature and date: 

Submit the following information. Attach additional sheets if necessary.

1. A statement setting forth the contractor's basis for requesting a partial or total waiver. Specify whether the request is for a waiver of the MBE goal, the WBE goal, or both.
2. The names of general circulation, trade association and minority- and women-oriented publications in which bids were solicited for purposes of complying with the MWBE participation goals, the dates bid solicitations were published, and the text of the bid solicitations;
3. A list of certified MWBEs which were solicited in writing to provide bids for purposes of complying with the MWBE participation goals;
4. Copies of responses made by certified MWBEs to solicitations made by the contractor;
5. If responses to the contractor's solicitations were received, but a certified MWBE was not selected, the specific reasons that such enterprise was not selected;
6. A description of any contract documents, plans or specifications made available to certified MWBEs for purposes of soliciting their bids, and the dates and manner in which these documents were made available;
7. Documentation of any negotiations between the contractor and certified MWBEs undertaken for purposes of complying with the MWBE participation goals;
8. Information describing the specific steps undertaken to reasonably structure the contract scope of work for the purpose of subcontracting with, or obtaining supplies from, certified MWBEs;
9. Any other information deemed relevant by the contractor.



Henry Restoration Ltd.

120 Lake Avenue South, Suite 14 Nesconset, New York 11767 (800) 640-3388 (631) 862-0400 Fax (631) 862-0416

Ref: Waiver Form

UNDC – 3 United Nations Plaza
New York, NY 10017

1. We request a partial waiver because we cannot meet the MBE goal for this project. We meet and exceed the WBE goal and the total goal of 20% with the WBE work.
2. We were contacted by the United Nations Development Corporation.
3. We solicited a bid from Division 7 Inc., a WBE in the state of New York.
4. They provided us with a bid for the interior ceiling work and waterproofing work.
5. We selected Division 7 which is the only WBE we solicited.
6. We sent the drawings, specifications and job related information to the WBE (Division 7) on June 6, 2012 via E-mail.
7. We do not have documentation of any negotiations. We used their bid for the work as originally sent to us.
8. We use Division 7 for many projects. They do roofing, waterproofing, and carpentry for us on many projects.
9. NONE