

UNDC awarded a State contract to Colin Construction Company as described below. The utilization plan and waiver form submitted by Colin Construction are attached.

**Name and address of the contractor:**

Colin Construction Company, Inc.  
513 West 27th Street  
New York, NY 10001

**Contract name/number:**

Mission of Mexico Tenant Renovation

**Contract award date:**

April 30, 2012

**Estimated date of completion:**

August 31, 2012

**Amount obligated under the contract:**

\$240,658.

**Description of work required by the contract:**

Contractor to provide general construction services for an interior renovation project to include new flooring, glass partitions, millwork and other associated trades to complete the work in accordance with the drawings and specifications.

**Name and address of certified enterprises referenced in the utilization plan and contract work they shall perform:**

Sherland & Farrington, Inc.  
253 West 28th Street, 2nd Floor  
New York, NY 10001

Sherland & Farrington will provide and install flooring.

Michbi Doors, Inc.  
75 Emjay Boulevard  
Brentwood, NY 11717

Michbi Doors will provide and install doors, frames, and hardware.

**Description of any waivers granted:**

A waiver of the minority-owned business enterprise goal was granted because the contactor is using certified women-owned business enterprises to perform a substantial portion of the work.

# MWBE UTILIZATION PLAN

(REVISED 11/8/2011)

## INSTRUCTIONS:

Contractors must submit a utilization plan for achieving goals established for the participation of New York State-certified minority- and women-owned business enterprises in relation to a State contract. Upon execution of a State contract, UNDC will post the utilization plan submitted by the winning bidder on its website. If a contractor changes its utilization plan after submission, it must notify UNDC in writing of such change and obtain approval from UNDC.

**Note:** All sections must be completed. Attach additional pages as necessary. Submit completed form to: United Nations Development Corporation, Two U.N. Plaza, 27th Floor, New York, NY 10017.

## SECTION I - CONTRACT/PROJECT INFORMATION

Contract name:

UNDC Mission Of Mexico

## SECTION II - CONTRACTOR INFORMATION

Contractor's name:

Colin Construction Co., Inc.

Contractor's Federal identification number:

13-2620087

Contractor's address:

513 West 27th Street, New York, N.Y. 10001

Contractor's telephone number:

(212) 947-9540

Preparer's name and title:

William Spellman

Preparer's email address:

wspellman@colinconst.com

Preparer's signature:



Date:

7/11/12

Is the contractor a NYS-certified MWBE:  Yes  No

If yes, attach proof of NYS certification. If the contractor is certified as both an MBE and a WBE, indicate whether participation should be counted towards the MBE goal or the WBE goal.

**SECTION III - SUBCONTRACTOR INFORMATION**

Does the contractor intend to subcontract with NYS-certified MWBEs to achieve the MWBE participation goals established on this contract:  Yes  No

If yes, provide the following information regarding each NYS-certified MWBE the contractor intends to subcontract with. Indicate whether the enterprise is certified as an MBE, a WBE, or both. If an enterprise is certified as both, indicate whether participation should be counted towards the MBE goal or the WBE goal. Attach proof of NYS certification for each enterprise.

MWBE name and address:	MWBE Federal I.D. number:	Contract scope of work to be performed by MWBE:	Amount to be paid to MWBE:	Dates of work to be performed by MWBE:
Sherland & Farrington 253 West 28th Street New York, N.Y. 10001	13-5675435	Flooring	\$72,500.00	June 1 to Approximately September 1
Michbi Doors 75 Emjay Blvd. Brentwood, N.Y. 11717	112576516	Hollow Metal & Hardware	\$1,155.00	June 1 to Approximately September 1

**SECTION IV - JOINT VENTURE INFORMATION**

Is the contractor a joint venture<sup>i</sup>, teaming agreement<sup>ii</sup>, or other similar arrangement that includes a NYS-certified MWBE:  Yes  No

If yes, specify whether the contractor is a joint venture, teaming agreement, or other similar arrangement and provide the following information:

1. The name, address, telephone number, and federal identification number of each partner or party to the agreement;
2. The federal identification number of the joint venture or entity established to respond to the solicitation, if applicable;
3. A copy or detailed summary of the joint venture, teaming agreement, or other similar arrangement, which describes the percentage of interest owned by each party to the agreement and the value added by each party;
4. A copy or detailed summary of the mentor-protégé agreement<sup>iii</sup> between the parties, if applicable, and if not described in the joint venture, teaming agreement, or other similar arrangement.

Attach proof of NYS certification, where applicable. Indicate whether participation should be counted towards the MBE goal or the WBE goal, where applicable.

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<sup>i</sup> Joint venture. A contractual agreement joining together two or more business enterprises, one of which is a certified minority- or woman-owned business enterprise, for the purpose of performing on a State contract. The certified minority- or woman-owned business enterprise must provide a percentage of value added services representing an equitable interest in the joint venture. All parties agree to share in the profits and losses of the business endeavor according to their percentage of equitable interest.

<sup>ii</sup> Teaming Agreement. A utilization plan arrangement between two or more parties, one of which is a certified minority- or women-owned business enterprise, to perform on a specific State contract if awarded to the team. The team itself may be a joint venture, or one of the team members may be designated to act as the prime contractor, and the other member(s) designated to act as subcontractors.

<sup>iii</sup> Mentor-Protégé agreement. A contract between a prime contractor, the "Mentor," and a minority- or women-owned business enterprise, the "Protégé," in which there is a transfer of knowledge, technology, or other resources, which promotes the economic growth of the minority- or women-owned business enterprise or fosters the establishment of a long term business relationship between the parties.



April 26, 2012

**Waiver Form Explanations**

- 1) We have requested a partial waiver for MBE subcontractors due to the fact that we could not reach the goal for MBE contractors. We only can achieve our WBE goal.
- 2) Colin solicited pricing from trusted subcontractors we have previously used on projects and that have a good track record with our company. Of those solicited no MBE subcontractors responded
- 3) N/A
- 4) Colin's communication with subcontractors starts with a phone call. If the subcontractor cannot be reached or decides not to price a job, the communication ends. There is no paper to show communication only phone calls.
- 5) See answer 4.
- 6) See answer 5.
- 7) See answer 6.
- 8) We bid small jobs to trusted contractors. If we need to "cold call" subcontractors we visit the N.Y. state data base of MBE & WBE certified Contractors.
- 9) We are a contractor that has been around for 43 years. On small projects like this one it is very difficult to put the reputation our business on the line with subcontractor we have no working experience with.

\*\*\* While the total cost of this project now exceeds the minimum requirements. Each separate subcontract is just a small part which narrows down the field of available subcontractors.

\*\*\* Colin has not yet selected any subcontractors. If we are chosen, during the selection process if any of the subcontractors are MBE certified we will revise our paperwork accordingly.